



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Seth P. Finklestein, M.D. Art Unit : 1646
Serial No. : 09/762,432 Examiner : Olga N. Chernyshev
Filed : February 7, 2001
Title : TREATMENT OF CENTRAL NERVOUS SYSTEM ISCHEMIA OR TRAUMA
WITH EPIDERMAL GROWTH FACTOR-LIKE POLYPEPTIDES

MAIL STOP RCE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449.

Under 35 USC §120, this application relies on the earlier filing date of application serial number 09/762,432, filed on February 7, 2001.

This filing is being made with the filing of a Request for Continued Examination. No fee is believed due. If there are any charges, please apply them to Deposit Account No. 06-1050, referencing Attorney Docket No. 00786-400002.

Respectfully submitted,

Date: November 26, 2003

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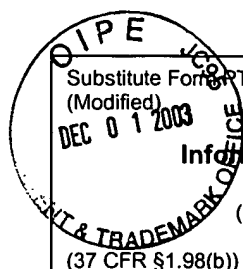
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Substitute Form PTO-1449

U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
00786-400002Application No.
09/762,432**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Seth P. Finklestein, M.D.Filing Date
February 7, 2001

Group Art Unit

U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|----------|-------|----------|----------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |

Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AD | | | | | | | |
| | AE | | | | | | | |
| | AF | | | | | | | |

Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
|------------------|-----------|--|
| | AG | Aigner <i>et al.</i> , <i>Cell</i> <u>83</u> :269-278 (1995). |
| | AH | Benowitz <i>et al.</i> , <i>Molecular Brain Research</i> <u>8</u> :17-23 (1990). |
| | AI | Boonstra <i>et al.</i> , <i>Cell Biology International</i> <u>19</u> :413-430 (1995). |
| | AJ | De Ryck <i>et al.</i> , <i>Brain Research</i> <u>575</u> :44-60 (1992). |
| | AK | Faber-Elman <i>et al.</i> , <i>The Journal of Clinical Investigation</i> <u>97</u> :162-171 (1996). |
| | AL | Fisher <i>et al.</i> , <i>Journal of Cerebral Blood Flow and Metabolism</i> <u>15</u> :953-959 (1995). |
| | AM | Gill, <i>Molecular Reproduction and Development</i> <u>27</u> :46-53 (1990). |
| | AN | Harding <i>et al.</i> , <i>Gene</i> <u>169</u> :291-292 (1996). |
| | AO | Hayase <i>et al.</i> , <i>Brain Research</i> <u>784</u> :163-178 (1998). |
| | AP | Inui <i>et al.</i> , <i>Gastroenterology</i> <u>107</u> :1799-1804 (1994). |
| | AQ | McInnes <i>et al.</i> , <i>Peptide Science</i> <u>43</u> :339-366 (1998). |
| | AR | Mishima <i>et al.</i> , <i>Neuroscience Letters</i> <u>213</u> :153-156 (1996). |
| | AS | Peifley <i>et al.</i> , <i>Circulation Research</i> <u>79</u> :263-270 (1996). |
| | AT | Riese <i>et al.</i> , <i>Journal of Biological Chemistry</i> <u>271</u> :20047-20052 (1996). |
| | AU | Shin <i>et al.</i> , <i>International Journal of Peptide and Protein Research</i> <u>44</u> :485-490 (1994). |
| | AV | Skene, <i>Ann. Rev. Neurosci.</i> <u>12</u> :127-156 (1989). |

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.96(b)) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 00786-400002 | Application No. 09/762,432 |
| | | Applicant Seth P. Finklestein, M.D. | |
| | | Filing Date February 7, 2001 | Group Art Unit |

Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
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| | AW | Stroemer <i>et al.</i> , <i>Stroke</i> <u>26</u> :2135-2144 (1995). |
| | AX | Swanson <i>et al.</i> , <i>Journal of Cerebral Blood Flow and Metabolism</i> <u>10</u> :290-293 (1990). |
| | AY | Tanaka <i>et al.</i> , <i>Brain Research</i> <u>872</u> :130-138 (1999). |
| | AZ | Vaudano <i>et al.</i> , <i>The Journal of Neuroscience</i> <u>15</u> :3594-3611 (1995). |
| | AAA | Woolf, <i>et al.</i> , <i>Neuroscience</i> , <u>34</u> :465-478 (1990). |

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